

# Global Financial Regulation

## Program Requirements

### Required Courses

LSTU 551	Compliance Skills: Auditing, Investigation & Reporting	4.0
LSTU 554	Risk Assessment and Management	4.0

Foreign-trained students may also be required to complete one or more of the following:

LSTU 550 Introduction to the Legal System

LSTU 553 Legal Research and Analysis

### Electives \* 24.0

Select from the list below.

LSTU 552 Ethics and Professional Standards

LSTU 555 Compliance Communications

LSTU 649 Foundations of Financial Regulation

LSTU 650 Legal Regulation of Global Financial Crimes

LSTU 651 Legal Regulation of Investment Advisers

LSTU 652 Legal Regulation of Investment Companies

LSTU 653 Broker/Dealer Regulation

LSTU 654 Banking Law

LSTU 660 Information Privacy Law

LSTU 661 The Law and Strategies Surrounding Cybersecurity

LSTU 662 European Union Data Privacy and Protection

LSTU 998 Thesis I – Scholarly Legal Writing: Planning and Preparation

LSTU 999 Thesis II – Scholarly Legal Writing: Writing, Refining, and Presentation

**Total Credits 32.0**

\* Substitutions can be made upon approval.

## Sample Plan of Study

### First Year (Part-Time)

Fall	Credits Winter	Credits Spring	Credits Summer	Credits
LSTU 551	4.0 LSTU 554	4.0 Electives	8.0 VACATION	
Elective	4.0 Elective	4.0		
	<b>8</b>	<b>8</b>	<b>8</b>	<b>0</b>

### Second Year (Part-Time)

Fall	Credits Winter	Credits
Elective	4.0 Elective	4.0
	<b>4</b>	<b>4</b>

**Total Credits 32**